



UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 17 2001

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIVED DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,496	AUG 7 2001	James C. Thompson	05118.00003

 22907
 BANNER & WITCOFF
 1001 G STREET N W
 SUITE 1100
 WASHINGTON, DC 20001

RECEIVED

AUG 7 2001

BANNER & WITCOFF, LTD.

CONFIRMATION NO. 8792

FORMALITIES LETTER



OC000000006425202

Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05118.00003

DOCKETED

 12/19/2001 ABOITON 00000076 190733 09891496
 01 EC:201 370.00 CH
 02 EC:205 63.00 CH

 AUG 17 2001
 Missing Parts due 15 Oct 2001
 LAST DAY 15 MAR 2002

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

0931496 12101
T04T2T" 5064F5860

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

DEC 17 2001

TOTAL AMOUNT OF PAYMENT

(\$) 655

Complete if Known

Application Number	09/891,496
Filing Date	June 27, 2001
First Named Inventor	James C. THOMPSON
Examiner Name	TBA
Group / Art Unit	2176
Attorney Docket No.	005118.00003

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

19-0733

Deposit
Account
Name

Banner & Witcoff, Ltd.

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 370

2. EXTRA CLAIM FEES

Total Claims	-20 **	=	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims			-3 **	=	0	X	0
Multiple Dependent				X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	200
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive -- unavoidable	
141	1,280	241	640	Petition to revive -- unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 265

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)

Thomas L. Peterson

Registration No. Attorney/Agent)

30,969

Telephone

202-508-9100

Signature



Date

December 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

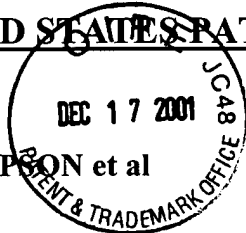
In re the Application of:

James C. THOMPSON et al

Serial No.: 09/891,496

Filed: June 27, 2001

For: METHOD APPARATUS FOR
COMPUTER AIDED ERROR
CORRECTION AND DOCUMENT
PROFILING



Atty. Docket No.: 005118.00003

Group Art Unit: 2176

Examiner: TBA

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS
Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts mailed August 15, 2001, for which the period for response is extended to December 15, 2001, Applicants submit herewith the executed Declaration/Power of Attorney to complete the filing of the instant application.

PETITION FOR EXTENSION OF TIME

Applicants respectfully request a two (2) month extension of time, up to and including December 15, 2001, to respond to the outstanding action in the above-reference application.

FEES

The Commissioner is authorized to charge the requisite fees, as calculated below, to Deposit Account No. 19-0733.

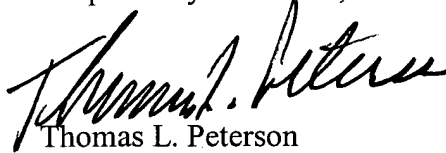
Application Filing Fee	370
Excess Independent Claims over 3	
Excess Dependent Claims over 20	
Extension of Time Fee	200
Late Filing Surcharge	65
SUBTOTAL	635

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200.00 CH

In the event of Applicants' miscalculation of fees, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0733.

Respectfully submitted,



Thomas L. Peterson

Reg. No. 30,929

Date: December 17, 2001

Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D. C. 20001-4597
(202) 508-9100

TLP:smn

#5



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
James C. THOMPSON, et al) Group Art Unit: 2176
Serial No.: 09/891,496) Examiner: TBA
Filed: June 27, 2001) Atty. Dkt. No. 005118.00003
For: METHOD APPARATUS FOR)
COMPUTER AIDED ERROR)
CORRECTION AND DOCUMENT)
PROFILING)

SUBMISSION OF SUBSTITUTE DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231


Sir:

Attached hereto for filing in the United States Patent and Trademark Office is 14 sheets (Figures 1-14) of substitute drawings for the above-identified patent application.

It is believed that no fee is required. However, if a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully Submitted,

Date: December 17, 2001

By: 
Thomas L. Peterson
Registration No. 30,969

BANNER & WITCOFF, LTD.
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Washington, D.C. 20001
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